# Duplex Sequencing reveals ubiquitous clonal hematopoiesis and complex donor-recipient clonal dynamics following hematopoietic stem cell transplant

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### Introduction

Clonal hematopoiesis (CH aka CHIP) is the process whereby otherwise healthy individuals accumulate clones bearing low-frequency somatic mutations in hematologic malignancy (HM) driver genes. Historically thought to be a phenomenon of the elderly that is associated with increased risk of HM and cardiovascular disease, CH has been observed in increasingly younger cohorts as sequencing technology has advanced. CH in hematopoietic stem cell transplant (HSCT) donors has also been associated with adverse recipient outcomes.

Duplex Sequencing (DS) is an error-corrected sequencing method that generates double-stranded consensus sequences to virtually eliminate PCR and sequencing errors to enable single-molecule resolution. Here we use DS to refine the definition of CH as requiring the same alternate allele call from 2 or more unique DNA molecules, rather than a variant allele frequency (VAF) cutoff.

Our retrospective study examines CH in donor-recipient pairs surviving up to 45 years post-HSCT and represents one of the longest follow-up of studies of this kind. Using these unique samples, we sought to characterize the acquisition of somatic mutations over time, assess the impact of HSCT on these clonal dynamics, and investigate factors that may modulate clonal dynamics after HSCT.

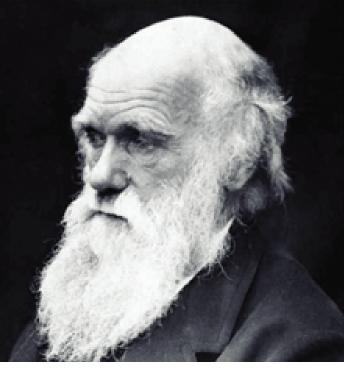
### **Sequencing Errors Obscure Truth**



**Next-Generation** Sequencing (NGS)



Single Strand **Error-Corrected NGS** 

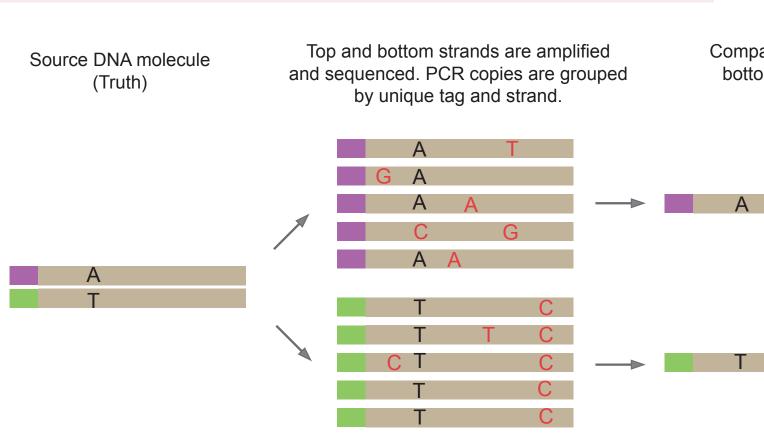


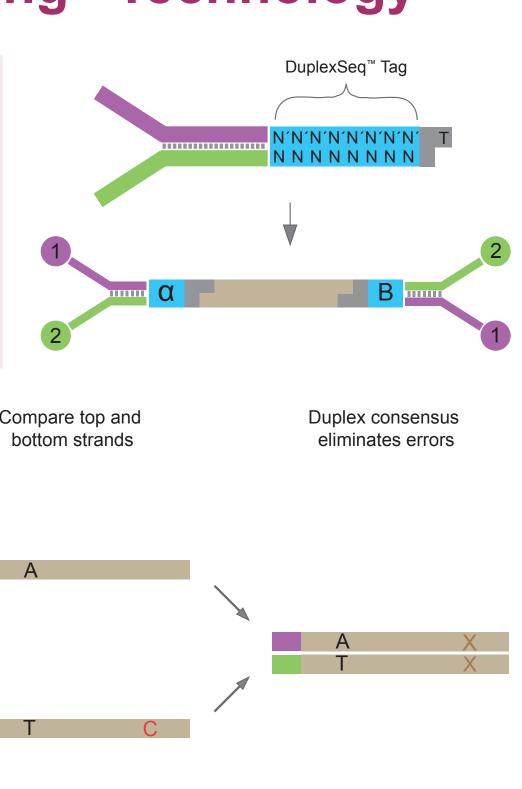
**Duplex Sequencing** 

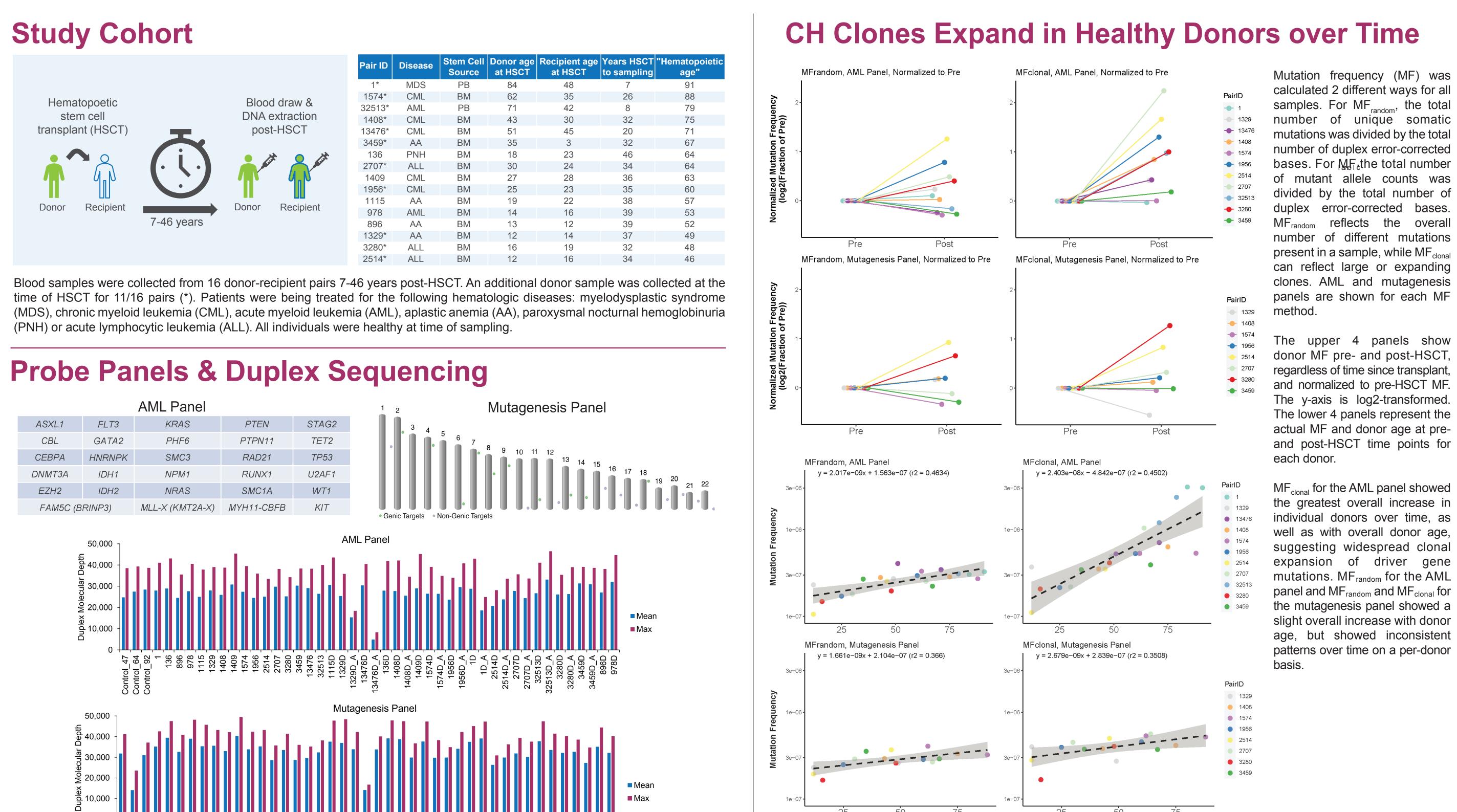
## **TwinStrand Duplex Sequencing<sup>™</sup> Technology**

### A DuplexSeq<sup>™</sup> Adapter has:

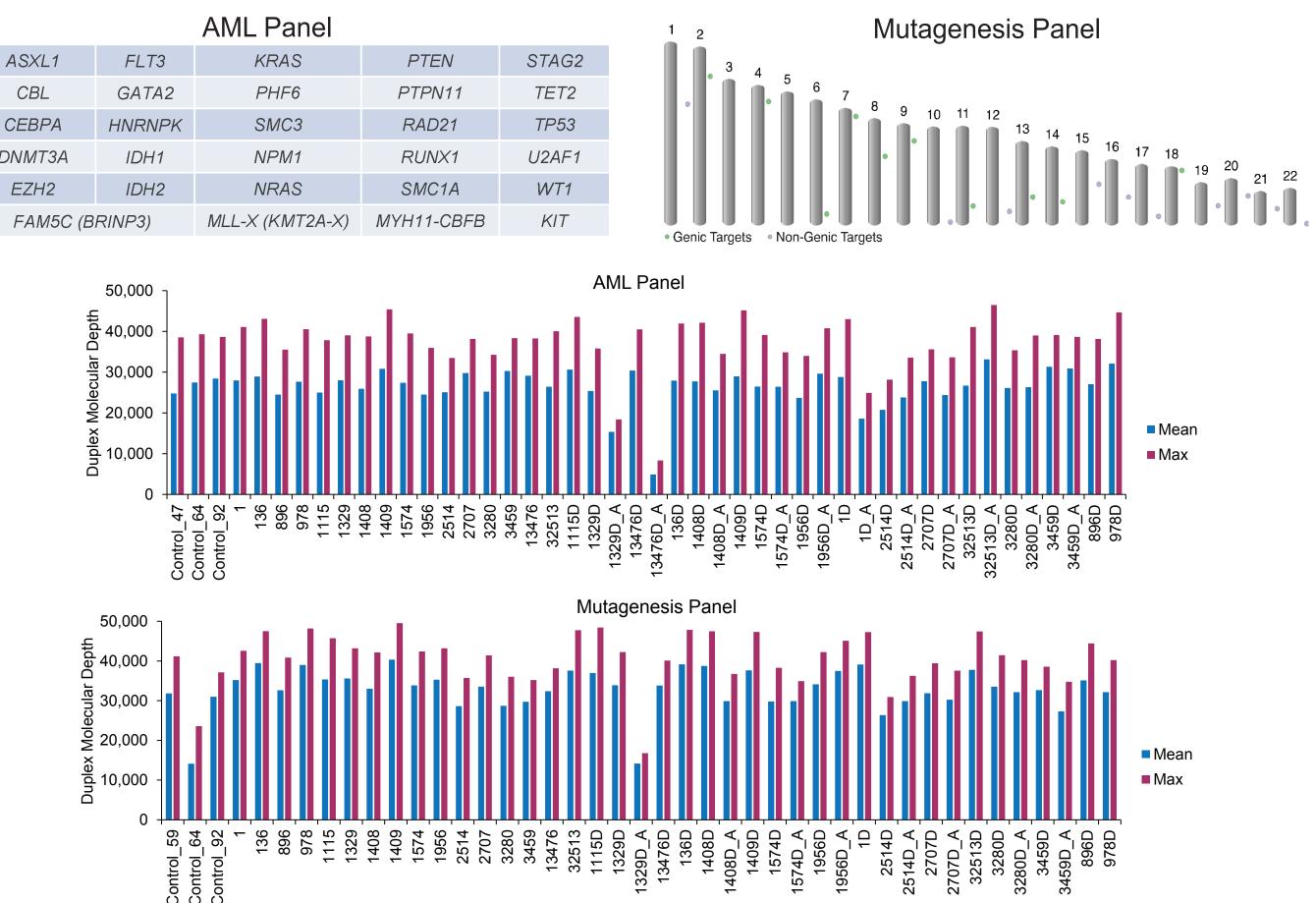
- 1. Identical (or relatable) degenerate tags in each strand.
- 2. An asymmetry allowing independent strand identification.





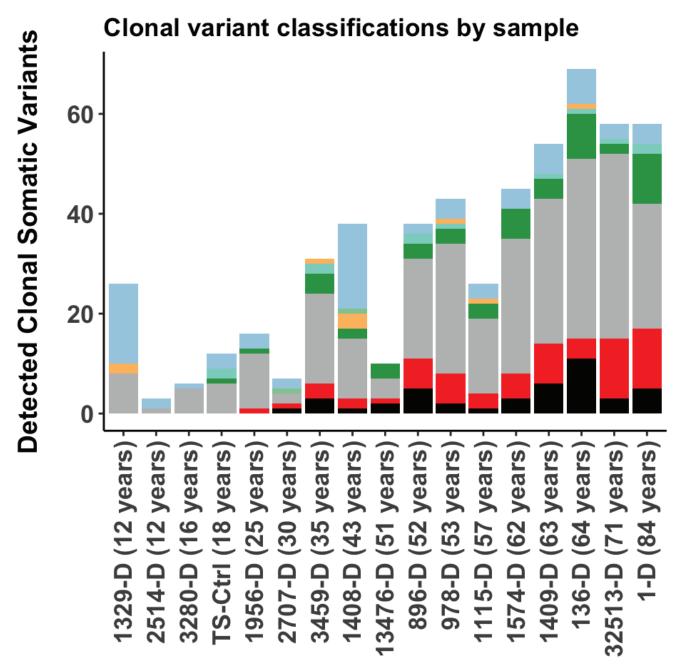


Blood samples were collected from 16 donor-recipient pairs 7-46 years post-HSCT. An additional donor sample was collected at the time of HSCT for 11/16 pairs (\*). Patients were being treated for the following hematologic diseases: myelodysplastic syndrome (MDS), chronic myeloid leukemia (CML), acute myeloid leukemia (AML), aplastic anemia (AA), paroxysmal nocturnal hemoglobinuria (PNH) or acute lymphocytic leukemia (ALL). All individuals were healthy at time of sampling.



Duplex Sequencing (DS) libraries were prepared from up to 1.2 ug genomic DNA for each sample (1.2 ug whenever possible, or else as much as was available). 2 libraries were prepared per sample. For the 1st library, hybrid capture was performed with an acute myeloid leukemia (AML) probe panel targeting 29 cancer driver genes recurrently mutated in adult AML. For the 2nd library, hybrid capture was performed with a panel targeting 48 kilobases (kb) of randomly selected neutral genomic regions ("mutagenesis panel") that are representative of the genome as a whole, but not predicted to be involved in positive or negative selection. AML panel libraries generated an overall mean duplex molecular depth of 26,478x. Neutral panel libraries generated an overall mean duplex molecular depth of 32,812x. Sample numbers represent pair IDs. "D" indicates the post-HSCT donor sample, and "D\_A" represents the pre-HSCT donor sample.

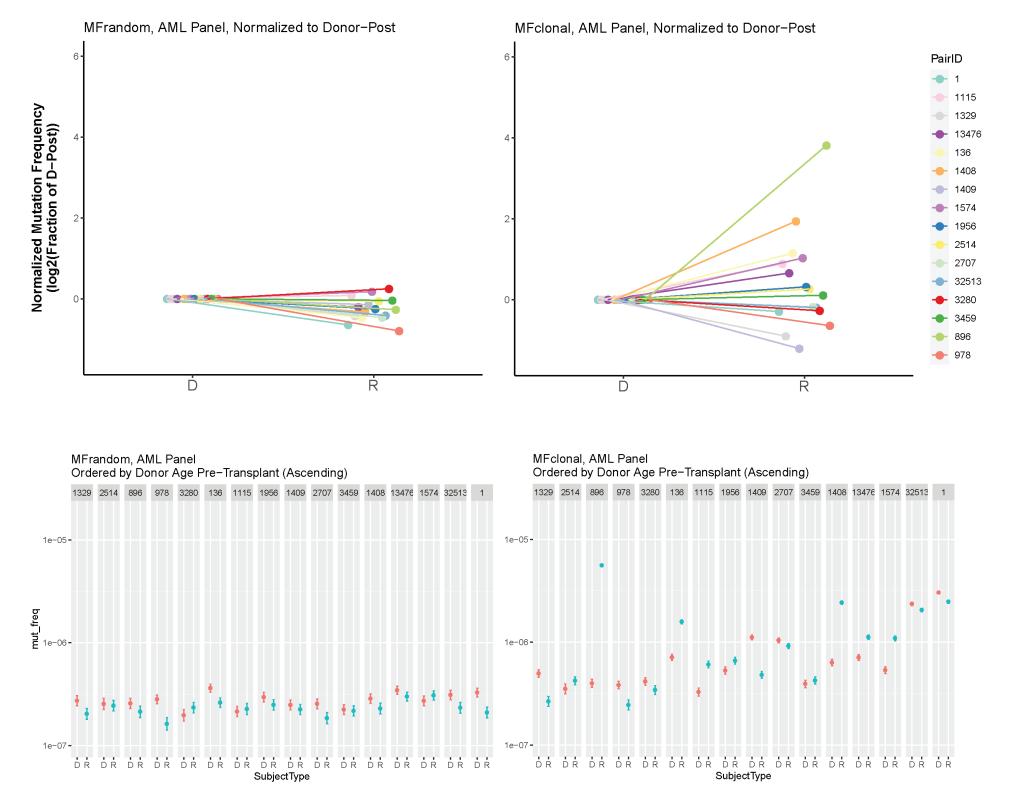
### **Clonal Hematopoiesis is Ubiquitous in Healthy Donors**



AnnotationConsequence Synonymous 5'-UTR 3'-UTR Indel - inframe Splicing Missense Indel - frameshift Nonsense

Clonal hematopoiesis (CH) was assessed in donor samples from all 16 donor-recipient pairs. When 2 donor time points were available, the younger donor sample was plotted here. Donors are sorted in order of increasing age at time of sampling. Mutations observed in at least 2 independent DNA molecules are plotted and annotated with the predicted functional consequence in the canonical transcript. Every sample harbors between several and several dozen CH mutations. The majority are missense. Additional intronic mutations are not shown.

### Most HSCT Recipients do not Exhibit Broad **Clonal Expansions Relative to Donors**



MF<sub>random</sub> and MF<sub>clonal</sub> were calculated for all post-HSCT donors (D) and paired recipients (R). In the upper 2 panels, MF was normalized to post-HSCT donor and log2-transformed. The lower 2 panels represent the actual MF for each sample, with 95% Wilson binomial confidence intervals. Only the AML panel is shown for • 3280 the post-HSCT samples.

> There is little change in MF<sub>random</sub> in recipients relative to donors, suggesting a similar number of total unique mutations. There is not a consistent increase in MF<sub>clonal</sub> in recipients, suggesting that most CH clones do not preferentially expand in recipients post-HSCT. Recipients in pairs 1408 and 896 have the largest increase in MF<sub>clonal</sub> relative to post-HSCT donor.

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### **Specific Clones Expand in HSCT Recipients** Combined - Gene BRINP3 CBL Intror DNMT3A GATA2 TP53 O AO 10<sup>-4</sup> 10<sup>-3</sup> 10<sup>-2</sup> 10<sup>-4</sup> 10<sup>-3</sup> 10<sup>-2</sup> Donor Post-HCT (VAF) Donor Post-HCT (VAF) Pair 1408: Donor Post vs. Recipient Post Pair 1408: Donor Post vs. Recipient Pos ASXL1 Indel - frameshift BRINP3 Intro DNMT3A RAD21 SMC3 TET2 <sup>5</sup> 10<sup>-4</sup> 10<sup>-3</sup> 10<sup>-2</sup> 10<sup>-1</sup> Donor Post-HCT (VAF) 10<sup>-4</sup> 10<sup>-3</sup> 10<sup>-2</sup> Donor Post-HCT (VAF) Pair 1: Donor Post vs. Recipient Post Pair 1: Donor Post vs. Recipient Pos ombined - Gene BRINP3 DNMT3AGATA2 | Indel - frameshift HNRNPK Indel - inframe KIT PHF6 PTPN11 RAD21 Splicing 80 SMC1A SMC3 o 🍼 👓 STAG2 0 000 & 08A o • TET2 $10^{-4}$ $10^{-3}$ $10^{-2}$ $10^{-1}$ Donor Post-HCT (VAF) 10<sup>-4</sup> 10<sup>-3</sup> 10<sup>-2</sup> Donor Post-HCT (VAF) Pair 3280: Donor Post vs. Recipient Post Pair 3280: Donor Post vs. Recipient Post ombined - Annotation Consequence ombined - Gene BRINP3 DNMT3ARAD21 Missense Nonsense RUNX1 Splicing STAG2 TET2 Pathogenic/Likely Pathogeni TP53 **A** Drug Response Benign/Likely Benign O Unknown/Uncertain/N/ $10^{-5}$ $10^{-4}$ $10^{-3}$ $10^{-2}$ $10^{-1}$ Donor Post-HCT (VAF) 10<sup>-4</sup> 10<sup>-3</sup> 10<sup>-2</sup> Donor Post-HCT (VAF

All individual mutations shared between representative post-HSCT donor-recipient pairs are shown ir scatterplots. Genes are color-coded column of plots, and annotation consequences in the canonical transcript are color-coded right column of plots (each i pair is represente ov 2 plots). Shapes indicate ClinVa predicted pathogenicity. Filled ignificantly increased or decreased VAF in the recipient relative to the donor post-HSCT (Fisher's exact test. Bonferroni-adjusted  $p \le 0.05$ ). Hollow shapes are not significantly different in the recipient.

896, which has substantially higher overall MF<sub>clonal</sub> in the recipient has 2 individual mutations that increase significantly in the recipient but also 1 that significantly decreases.

The recipient in pair 1408, which also has elevated MF<sub>clonal</sub> relative to the donor, has 2 mutations that significantly increase. But there are many mutations at low VAF that do not significantly change

In pair 1, which has similar MF both post-HSCT samples, ar equal number of mutations significantly increase or decrease in the recipient

Pair 3280 has similar MFclonal in the post-HSCT donor and recipient A single mutation is significantly increased in the recipient, and the rest of the shared variants do not change significantly.

## Conclusions

- Clonal hematopoiesis (CH) is observed in 100% of individuals, with multiple clonal somatic mutations observed even in teenagers.
- We propose redefining CH as mutations observed in ≥2 DNA molecules, rather than using a variant allele frequency (VAF) cutoff.
- The number of CH mutations increases with age.
- CH clones expand over time in healthy donors.
- In general, CH clones do not preferentially expand in HSCT recipients relative to donors, though there are outliers.
- Duplex Sequencing will be a valuable research tool for reassessing the risk of hematologic malignancies and cardiovascular disease associated with CH. Rather than a binary assignment of CH-positive or -negative, it is likely that specific genes, mutations and VAFs will need to be considered.

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